



500.40766X00

2621  
A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hiroo OKAMOTO et al.  
Serial No.: 09/982,291  
Filed: October 19, 2001  
Title: DIGITAL INFORMATION RECORDING APPARATUS,  
REPRODUCING APPARATUS AND TRANSMITTING  
APPARATUS  
Group: 2621  
Examiner: TOPGYAL, Gelek W.  
Confirmation No.: 7854

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97 AND 1.98**

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

April 18, 2007

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of a Japanese Office Action, issued in a counterpart Japanese patent application, and copies of the reference cited therein. Also enclosed are Forms PTO/SB/08A&B, listing the enclosed documents.

This Information Disclosure Statement is being submitted subsequent to a first Office Action on the merits in the above-identified application, but prior to a Final Office Action or issuance of a Notice of Allowance, or issuance of any other action closing prosecution on the merits in the above-identified application. Accordingly, the fee of \$180.00 is enclosed herewith.

04/19/2007 MAHMED1 00000058 09982291

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The following Statement is made under 37 C.F.R. §1.97(e):

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.


To the extent that the enclosed documents are not in English, it is respectfully submitted that requirements of 37 CFR §1.98(a)(3) are satisfied by the enclosed English language Abstract.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 C.F.R. §1.97 and §1.98 have been satisfied, in connection with the present submission of the enclosed documents. Accordingly, consideration of the enclosed documents, during examination of the above-identified application, is respectfully requested.

Applicants request any shortage or excess in fees in connection with the filing of this paper, including extension of time fees, and for which no other form of payment is offered, be charged or credited to Deposit Account No. 01-2135 Case: 500.40766X00).

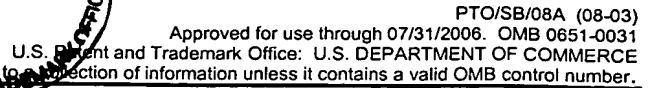
Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP.

  
Leonid D. Thenor  
Registration No. 39,397

LDT/vvr

Dated: April 18, 2007



Substitute for form 1449A/PTO

**Complete if Known**

(use as many sheets as necessary)

Sheet	1	of	2
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Application Number	09/982,291
Filing Date	10/19/2001
First Named Inventor	Hiroo OKAMOTO
Art Unit	2621
Examiner Name	TOPGYAL, Gelek W.
Attorney Docket Number	500.40766X00

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FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> —Number <sup>4</sup> —Kind Code <sup>5</sup> (if known)				
		JP 10-162559	06-19-1998	YAMAHA CORP.		
		JP 2000-149417	05-30-2000	MATSUSHITA ELECTRIC IND CO LTD		
		JP 9-055025	02-25-1997	SONY CORP		

Date  
Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



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**(use as many sheets as necessary)**

Sheet

2

of

2

Application Number

09/982.291

Filing Date

10/19/2001

First Named Inventor

Hiroo OKAMOTO

### Group Art Unit

2651

Examiner Name

TOPGYAL, Gelek W.

Attorney Docket Number

40766X00

## NON PATENT LITERATURE DOCUMENTS

Examiner  
Signature

Date \_\_\_\_\_

**Considered**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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